

Date: 23<sup>rd</sup> September 2025

## NOTICE

This is to inform all the MBA Students that we are **conducting a Guest Lecture** for 1<sup>st</sup> Year Students on **“Securities Market Ecosystem and Operations”**

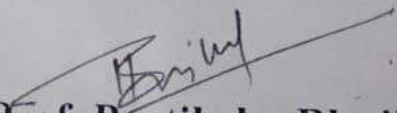

Date: 25<sup>th</sup> September 2025

Time: 2:30 PM Onwards

Resource Person: **Mr. Rohit Warman (NISM)**

Venue: **E Building, SITRC.**

Attendance is Mandatory

  
**Prof. Pratiksha Bhujbal**  
Co-Ordinator  
**Dr. Abhay Bora**  
HOD, MBA, SITRC



# SANDIP INSTITUTE OF TECHNOLOGY AND RESEARCH CENTRE

An Autonomous Institute Permanently Affiliated to Savitribai Phule Pune University,  
Pune, Approved by AICTE, New Delhi and Govt. of Maharashtra

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Accredited with "A" grade by NAAC With CGPA Score of 3.11  
NBA Accredited for Computer & Mechanical Engineering (UG Course) w.e.f. 2023-24 to 2025-26



## Department of Management Studies (MBA)

Academic Year 2025-26

### Seminar Report on "Securities Market Ecosystem and Operations"

- Event Title: "Securities Market Ecosystem and Operations"
- Date: 25th September 2025
- Conduction Duration: 1 Hour
- Venue: E' Buiding, MBA Dept, SITRC.
- Resource Person: Mr. Rohit Warman (NISM)
- Coordinator: Prof. Pratiksha Bhujbal

#### **Objective:**

1. To provide MBA students with an overview of the structure and functioning of the securities market ecosystem.
2. To understand the roles of stock exchanges, regulators, brokers, and depositories.
3. To highlight the operations and practices followed in Indian capital markets.
4. To prepare students for careers in financial services, investment banking, and capital market operations.

#### **About the Program:**

The Department of Management Studies organized a guest lecture on the topic "Securities Market Ecosystem and Operations" conducted by Mr. Rohit Warman, National Institute of Securities Markets (NISM).

Mr. Warman began the session by introducing the fundamentals of securities markets, including the primary and secondary market structures. He explained the regulatory framework governing the Indian securities market, with special emphasis on the role of SEBI (Securities and Exchange Board of India).

The lecture covered the following key areas:

- **Market Participants:** Investors, issuers, intermediaries (brokers, sub-brokers, investment advisors).
- **Market Infrastructure:** Stock exchanges, clearing corporations, and depositories.
- **Trading and Settlement Process:** Order matching, clearing, T+1 settlement cycle.



- **Recent Developments:** Introduction of new trading platforms, role of technology, and risk management practices.

Through real-world examples, Mr. Warman illustrated how securities markets ensure liquidity, transparency, and investor protection. He also discussed career prospects in the financial sector and highlighted the skills required for professionals in capital market operations.

The session concluded with an interactive **Q&A session**, where students asked insightful questions regarding stock market investments, compliance requirements, and emerging trends like digital trading platforms.

### Outcome:

1. Students gained practical knowledge about the functioning of securities markets in India.
2. The lecture enhanced understanding of the interlink-ages between regulators, stock exchanges, brokers, and investors.
3. Participants developed awareness of job opportunities and certifications in the securities market domain.
4. The session motivated students to explore securities market operations as a career path.

### Glimpse of the Day

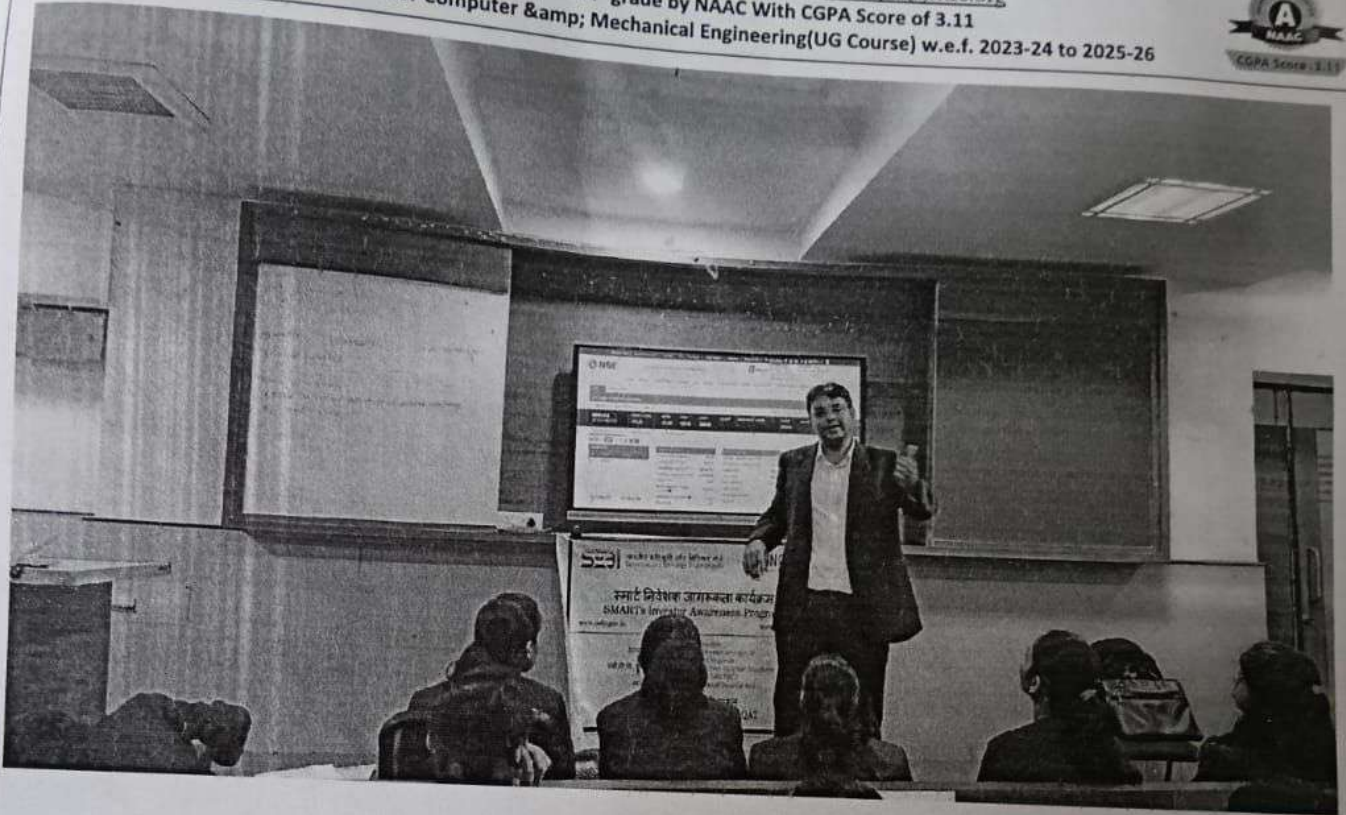


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*Pratiksha Bhujbal*  
**Prof. Pratiksha Bhujbal**  
Coordinator

*Dr. Abhay Bora*  
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HOD, Dept of MBA

*Dr. Amol Potgantwar*  
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Principal, SITRC