



**Sandip Foundation's
Sandip Institute of Technology & Research Centre, Nashik
Department of Management Studies
Academic Year 2021-22**

Guest/Expert Talk Lecture on “Capital Markets & Wealth Creation ”

Event Title: Guest/Expert Talk Lecture on “Capital Markets & Wealth Creation ”

Date: 27th May 2022

Conduction Duration: 2Hours

Venue: Digital Platform of Zoom

Resource Person:

1.Daksha Gogia (Trainer, Financial Literacy & Investor Education, BIAP)

Coordinator: Prof Prabodhan Patil

Objective:

1. To Introduce Student to basic Concepts of Capital Market

2. To guide students towards financial awareness and wealth creation

About The Program:

Department of Management Studies had organized a guest lecture session on “**Capital Markets & Wealth Creation**” for MBA Students. The Guest speaker for the same was **Ms Daksha Gogia**, who is a trainer for **Financial Literacy & Investor Education of BAIP Organization**. In deliver of speech she mentioned few essential & crucial points which can be summed up as follows. In growing era of corporate scams and accounting scandals, self-awareness and adequate knowledge in the field of finance is extremely necessary. It is important for every individual to plan out their financial goals for which knowledge about financial products is a must. This will help every individual to take independent decisions and plan out for the future in a better manner. this lecture was was an attempt to clear out the misconceptions in the minds of students, channelize thought process regarding investments, make them aware of various asset classes, and equip them with enough financial skills so that they are financially safe. Also, she introduced the

students to a variety of primary market capital raising strategies, such as mutual funds, initial public offerings, equities, etc. She also covered the requirements for trading on the securities market as well as the significance of investing. Throughout the whole session, students engaged in active involvement. The students did undoubtedly benefit from an efficient learning opportunity.

Outcome:

1. Students came to know about various facets of Financial Planning

Photographs:





Zoom

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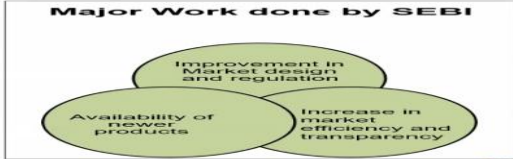


Securities and Exchange Board of India (SEBI)

Three Key Mandates of SEBI



Major Work done by SEBI



Gurmatāṁ Vedaṁtāṁ to Everyone Yes Yes

Unmute

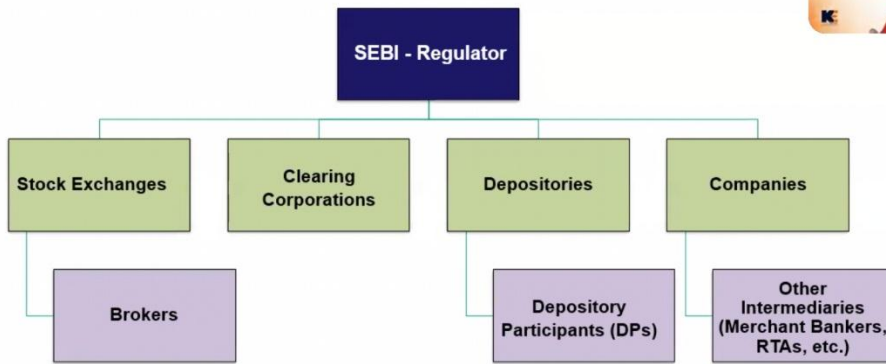
Start Video

Share

Participants 51

More 2

Indian Securities Market – Structure



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Investor Grievance Redressal - SCORES



- Complaints can be filed against entities like:
 - Listed companies; Stockbrokers; Stock Exchanges; Depository / Participants; Registrars to an Issue / Share Transfer Agent; Mutual Funds / Portfolio Managers; Bankers to an Issue; Collective Investment Schemes; Credit Rating Agencies; Custodians of Securities; Debenture Trustees; Merchant Bankers / Underwriters.
- www.scores.gov.in: **SEBI CO**mplaints **R**edressal **S**ystem (SCORES)
 - launched on 08.06.2011.
- Investor friendly, Centralized Web based complaints redress system.
- Online filing of complaints and status can be viewed online.
- SCORES Mobile App**: available on both iOS and Android platforms.

Daksha Gogia's screen



12:53



4G+ 65%



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Primary Market v/s Secondary Market

Features	Primary Market	Secondary Market
Definition	- Securities issued first time to the public.	- Trading of already issued and listed securities.
Also called as	- New Issue Market.	- Post Issue Market.
Price Determination	- By Issuer Company in consultation with Merchant Bankers.	- Supply and Demand Forces of Market.
Key Intermediaries	- Merchant Bankers and RTAs	- Stock Brokers and DPs.
Purpose	- Raise capital for expansion, diversification, etc.	- Trading of securities. - Providing liquidity to investors. - Raising further capital for expansion.

NSE

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Start Video

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Participants 56

More

1:02



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Pre-requisites for buying/ selling share Securities market

Savings Bank Account

- Savings Account can be in any bank
- Transfer/ receipt of funds from buying/ selling of securities

Accounts needed to trade in securities market

Trading Account

- With SEBI registered Stockbroker (Trading Member/ TM)
- To buy/ sell securities

Demat Account

- With a SEBI registered Depository Participant (DP)
- To hold shares in Demat (electronic)