



***Sandip Foundation's  
Sandip Institute of Technology & Research Centre, Nashik  
Department of Management Studies  
Academic Year 2020-21  
Report on Value Added Program***

\*\*\*\*\*

***Event Title: - Value Added Program “Introduction to Securities Market, IPOs and Mutual Funds”***

***Date: - 02<sup>nd</sup> March to 10<sup>th</sup> March 2021***

***Conduction Duration: 1 Week***

***Venue: Online -Webex***

***Resource Person: Mr. Amit Gupta, SEBI Empaneled Securities Markets Trainer, NISM  
Empaneled CPE Trainer(for MFD, RRA, ED)***

***Coordinator: Dr Harshada Aurangabadkar***

***Objective:***

- 1. To study Securities Market, IPOs and Mutual Funds.***
- 2. To understand the various investment avenues available for investing.***

### **About The Program:**

*Department of Management Studies had organized one week Value Added Program on “Introduction to Securities Market, IPOs and Mutual Funds” for MBA students. The session was conducted by **Mr. Amit Gupta, SEBI Empaneled Securities Markets Trainer, NISM Empaneled CPE Trainer(for MFD, RRA, ED)***

*The online session began with introduction of Financial Management and what is financial planning, he explained the definition of Financial Planning, as the process of estimating the capital required and determining its competition. He further added that financial planning is the process of framing the financial policies in relation to procurement, investment and administration of funds of an enterprise. The speaker also spotlighted the importance of financial planning and why one has to do financial planning. It provides adequate funds to be ensured for future. Financial planning also helps in ensuring a reasonable balance between outflow and inflow of funds so that the stability is maintained. Financial planning reduces the uncertainties with regards to changing markets trends which can be faced easily through enough funds.*

*The speaker further explained the different avenues available for the investment such as PPF, Bank FD's, Mutual Funds, Stock Markets, Insurance and etc. And further he explained in detailed about the equity market. He focused on stock markets in dept and how it plays an important role in maintaining a healthy portfolio. The speaker flashed light on the importance of investing, he also said that assessing the investment periodically is too a vital scenario for wealth creation.*

### **Outcome:**

- 1. They shall be able to handle their money better when they start earning*
- 2. They become more responsible savers and investors, hence responsible citizens too.*
- 3. They shall be ready for the real-life situations and shall be able to take decisions more independently Achieving financial goals and financial freedom over a longer period.*

# Photograph:

Viewing Amit Gupta's screen

## Major Development and Investor Protection Measures of SEBI

**Mutual Funds**

a) Classification of mutual funds into 5 schemes:

- Equity Schemes
- Debt Schemes
- Hybrid Schemes
- Solution Oriented Schemes
- Other Schemes.

b) Product labelling of Mutual Funds as under:

- Low - principal at low risk
- Low to Moderate - principal at moderately low risk
- Moderate - principal at moderate risk
- Moderately High - principal at moderately high risk
- High - principal at high risk
- Very High- Principal at very high risk.

Participants (40)

Search

- DA Dr Harshada Aura... Me
- AG Amit Gupta Host
- AB Aakash Ulhas Bag...
- AK Alfya khan
- AG Amit Gupta-9004...
- AS Ankit Singh
- CS CHARUSHILA SH...
- HA H Anilkumar
- HA Harshada Auranga...
- HJ Hemangi Rajesh J...
- KJ kalyani Prashant ja...
- LS lucky soni
- MG mahak ghai

Unmute Start video Share

Viewing Amit Gupta's screen

## Modes of Capital Issuances

**Bonus Issue**

- Done by already listed company.
- Issue of shares to existing shareholders (as on a record date).
- Existing shareholders need not make any payment for "Bonus" shares.
- Shares are issued out of the company's free reserve or share premium account.
- Issued in a particular ratio to the number of securities held on record date.

**Preferential Issue**

- Done by already listed company.
- Issue of shares / convertible securities (like warrants) to a select group of persons.
- Subject to prescribed norms such as minimum pricing, minimum public shareholding and lock-in.

**QIP**

- Qualified Institutional Placement.
- Done by already listed company.
- Issue of shares / convertible securities (like warrants) to Qualified Institutional Buyers (QIBs).
- Subject to prescribed norms such as minimum pricing and minimum public shareholding.

AG

Amit Gupta (Host)

Participants (84)

Search

- DA Dr Harshada Au... Cohost, me
- AG Amit Gupta Host
- DP Dr Rakesh Patil Cohost
- HA Harshada Auran... Cohost
- AB Aakash Ulhas B...
- AN Aarati Nachankar
- AN Aarti Nikam
- A Aditya
- AS afiya shaikh
- AS Akshay Saraf
- AS Alok Shrivastava

Mute All Unmute All

Unmute Start video Share

Viewing Amit Gupta's screen

## Primary Market - Types of Public issues

```

    graph TD
      Issues[Issues] --> Public[Public Issues]
      Issues --> Rights[Rights Issues]
      Issues --> Bonus[Bonus Issues]
      Issues --> Private[Private Placements]
      Public --> IPO[IPO]
      Public --> FPO[FPO]
      Private --> Preferential[Preferential Issue]
      Private --> QIP[Qualified Institutional Placement]
      IPO --> Fresh1[Fresh Issues]
      IPO --> Offer1[Offer for sale]
      FPO --> Fresh2[Fresh Issues]
      FPO --> Offer2[Offer for sale]
  
```

Participants (35)

Search

- DA Dr Harshada Aura... Me
- AG Amit Gupta Host
- AB Aakash Ulhas Bag...
- AG Amit Gupta-9004...
- AJ Aniket Jadhav
- AS Ankit Singh
- AK Arjun Kumar
- DS Dr. Tushar Savale
- HA Harshada Auranga...
- HJ Hemangi Rajesh J...
- KJ kalyani Prashant ja...
- LS lucky soni

Unmute Start video Share

Participants Chat

Viewing Amit Gupta's screen

Chance to execute

Start

43901.14 51.3 (0.10%) 14788.8 27.25 (0.18%) 73.6925 -0.07 (-0.09%) 45086 -222 (-0.49%) 35149.85 -146.15 (-0.41%) 35108.5 -215.05 (-0.61%)

Search Scrip POOJAGUPTA

Full Name	% Chg	Net Change	LTP	52 Week Low	52 Week High	Buy Rate	Sell Rate	Open	High	Low	Close	Buy Qty	Sell Qty	LTO	Wgt	Avg	Trade
IBREALEST	4.93	4.65	98.90	36.85	102.45	98.85	99.00	95.70	102.45	94.75	94.25	1024	1579	190	99.62		
VOLTAS	0.68	7.05	1061...	428	1131.2	1050.75	1051.55	1040...	1068.00	1040.00	1044.15	18	46	15	1059.86		
GRASIM	0.82	10.35	1275...	380	1288.7	1273.55	1274.75	1277...	1288.70	1263.10	1264.65	10	145	50	1278.36		
BEMIL EQ	4.01	46.10	1211...	369.5	1245	1219.15	1211.20	1175...	1245.00	1162.00	1185.10	10	36	50	1215.52		
KOTAKBANK EQ	-0.98	-18.10	1827...	1001	2049	1826.50	1827.30	1845...	1868.40	1820.10	1845.40	37	16	21	1842.03		
INFY	1.88	23.85	1292...	511.1	1392.7	1292.40	1292.90	1280...	1301.20	1279.50	1268.70	30	32	1	1293.82		
ITC EQ	1.16	2.45	209.35	134.6	239.2	209.30	209.35	207.00	210.80	206.50	206.90	960	137	50	209.02		
IDFCFIRSTB	0.95	0.60	64.00	17.75	65.85	63.95	64.05	63.90	65.05	63.45	63.40	16915	700	25	64.11		
ICICIBANK EQ	-0.72	-4.35	604.00	268.3	679.4	603.95	604.00	610.00	616.50	601.45	608.35	8	182	40	610.41		
POWERGRID	-1.05	-2.40	225.15	129.75	239	225.15	225.20	228.70	229.50	223.80	227.55	20	247	10	226.67		
IOC EQ	1.79	1.80	102.50	71.15	112.9	102.45	102.50	102.25	104.10	102.10	100.70	864	15698	107	103.10		
NTPC EQ	2.07	2.20	110.65	73.2	113.6	110.65	110.75	108.45	113.60	107.90	108.45	2087	9633	100	111.81		
ACC	-0.35	-6.15	1769...	895.5	1850	1769.00	1769.80	1766...	1812.00	1766.15	1775.25	62	23	1	1794.38		
AMBUJACEM	0.34	0.95	278.80	136.65	290.7	279.75	278.90	278.45	283.35	278.00	277.85	50	22	1	280.88		
EXIDEIND	0.94	1.95	208.80	121.9	220.6	208.55	208.80	208.80	210.50	208.90	206.65	257	857	50	208.93		

Buy Order New

02/03/2021 11:10:41 AM : MOST Intraday Future BANKNIFTY FUT SL TRIGGER AT 35192  
 02/03/2021 11:08:44 AM : MOST Intraday Future NIFTY FUT SL TRIGGER AT 14849.9  
 02/03/2021 11:08:30 AM : MOST Intraday Cash OBEROIRLY CASH EXIT COST AT 550.15  
 02/03/2021 11:05:23 AM : MOST Momentum BUY IDFCFIRSTB CMP 64.7 SL 63 TGT 70  
 02/03/2021 11:04:46 AM : MOST Momentum BUY IDFCFIRSTB CMP 64.7 SL 63 TGT 70

Participants (79)

Search

- DA Dr Harshada Au... Cohost, me
- AG Amit Gupta Host
- DP Dr Rakesh Patil Cohost
- HA Harshada Auran... Cohost
- AB Aakash Ulhas B...
- AN Aarati Nachankar
- AN Aarti Nikam
- A Aditya
- AC Anagha Chaudh...
- AM Ananda Mali
- AA Aniket Adsure

Mute All Unmute All

Unmute Start video Share

Participants Chat

PORTER Five Forces Model (Compatibility Mode) - Excel

AG

Amit Gupta (Host)

Participants (62)

Q Search

DA Dr Harshada Au... Cohost, me

AG Amit Gupta Host

DJ Dr M K Jain Cohost

DP Dr Rakesh Patil Cohost

HA Harshada Auran... Cohost

AB Aakash Ulhas B...

AN Aarati Nachankar

AN Aarti Nikam

A Aditya

AS Akshay Saraf

AS Alok Shrivastava

Mute All Unmute All

Unmute Start video Share

Participants Chat

	A	B	C	D	E	F	G	H	I	J	K	L	M
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													
13													
14													
15													
16													
17													

Porter Sheet1

Ready Type here to search

13:45 PM 02/03/2021

introduction to Primary Market....cont.

Viewing Amit Gupta's screen

SEBI

New Projects  
 Objects of Issue  
 Expansion of existing projects/modernization  
 Diversification  
 Up-gradation

➤ **Objects of the issue** and intended utilization of funds  
 → Given by issuers in the Offer Document

- This presentation may be used with the permission of SEBI and only for non-profit awareness programs.

AG

Amit Gupta (Host)

Participants (35)

Q Search

DA Dr Harshada Aura... Me

AG Amit Gupta Host

AB Aakash Ulhas Bag...

AG Amit Gupta-9004...

AJ Aniket Jadhav

AS Ankit Singh

CS CHARUSHILA SH...

DS Dr. Tushar Savale

HA Harshada Auranga...

HJ Hemangi Rajesh J...

KJ kalyani Prashant ja...

LS lucky soni

MV Mahendra Vadgama

Unmute Start video Share

Participants Chat